FORM D

corporation

business trust

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. SECTION 4(6), AND/OR JNIFORM LIMITED OFFERING EXEMPTION

/	3	35	38	?3
!				

Prefix

OMB Approval OMB Number: 3235-0076 Expires: April 30, 2008 Estimated average burden hours per response.....

SEC USE ONLY

Serial

06026969 SE	CTION 4(6), ANI MITED OFFERIN	D/OR		DATE RECEIVED
Name of Offering (check if this is an amendment and name	me has changed, and	indicate change.)		FOFIVED CO
An offering of Class A Interests, Class C Interests	and Class I Intere	ests		10-10-10-10
Filing Under (Check box(es) that apply): ☐ Rule 504	☐ Rule 505	□ Rule 506	☐ Section 4(6)	ULOE
Type of Filing: New Filing Amendment				< 1 MAR 0 8 2006 >
A.	BASIC IDENT	IFICATION DATA		1/1
Enter the information requested about the issuer				(8°)
Name of Issuer (check if this is an amendment and	name has changed, a	ind indicate change.)		185/5
Ivy/Wachovia Multi-Strategy ASW Fund, a Ser	ies of Wachovia	Alternative Strate	gies Platform, Ll	LC
Address of Executive Offices (Number and Street, City, Sta	te, Zip Code)		Telephone Numbe	r (Including Area Codé)
401 S. Tryon Street, TH3, Charlotte, North Carolin	na 28288-1157		(704) 383-63	369
Address of Principal Business Operations (Number and Stre	eet, City, State, Zip (Code)	Telephone Numbe	er (Including Area Code)
(if different from Executive Offices)		•	·	·
Brief Description of Business				
Investment Fund				
Type of Business Organization				

□ Estimated

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State; DE

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

CN for Canada; FN for other foreign jurisdiction)

Month

12

Year

2005

limited partnership, already formed

limited partnership, to be formed

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Actual or Estimated Date of Incorporation or Organization:

Type of Business Organization

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure To file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

A. BASIC IDENTIFICATION DATA								
2. Enter the information requested for the following:								
• Each promoter of the issuer, if the issuer has been organized within the past five years;								
 Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; 								
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and 								
Each general and managing partner of partnership issuers								
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner								
Full Name (Last name first, if individual)								
Wachovia Alternative Strategies, Inc.								
Business or Residence Address (Number and Street, City, State, Zip Code)								
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934								
Check Box(es) that Apply:								
Full Name (Last name first, if individual)								
Taback, Adam I.								
Business or Residence Address (Number and Street, City, State, Zip Code)								
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934								
Check Box(es) that Apply:								
Full Name (Last name first, if individual)								
Ferro, Dennis H.								
Business or Residence Address (Number and Street, City, State, Zip Code)								
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934								
Check Box(es) that Apply:								
Full Name (Last name first, if individual)								
Munn, W. Douglas								
Business or Residence Address (Number and Street, City, State, Zip Code)								
200 Berkeley Street, Boston, MA 02116								
Check Box(es) that Apply:								
Full Name (Last name first, if individual)								
Koonce, Michael H.								
Business or Residence Address (Number and Street, City, State, Zip Code)								
200 Berkeley Street, Boston, MA 02116								
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner								
Full Name (Last name first, if individual)								
Moss, Matthew C.								
Business or Residence Address (Number and Street, City, State, Zip Code)								
401 S. Tryon Street, TH3, Charlotte, North Carolina, 28202-1934								

A. BASIC IDENTIFICATION DATA						
2. Enter the information requested for the following:						
• Each promoter of the issuer, if the issuer has been organized within the past five years;						
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;						
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and						
• Each general and managing partner of partnership issuers Check Pov(co) that Apply: Description Description						
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)						
Brown, Sheelpa P.						
Business or Residence Address (Number and Street, City, State, Zip Code)						
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934						
Check Box(es) that Apply:						
Full Name (Last name first, if individual)						
Lapple, Barbara Ann						
Business or Residence Address (Number and Street, City, State, Zip Code)						
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934						
Check Box(es) that Apply:						
Full Name (Last name first, if individual)						
Nakano, Yukari						
Business or Residence Address (Number and Street, City, State, Zip Code)						
200 Berkeley Street, Boston, MA 02116						
Check Box(es) that Apply:						
Full Name (Last name first, if individual)						
Patterson, Britta Business or Residence Address (Number and Street, City, State, Zip Code)						
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934 Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner						
Full Name (Last name first, if individual)						
Ballantine, Jacqueline						
Business or Residence Address (Number and Street, City, State, Zip Code)						
123 Broad Street, Philadelphia, PA 19109 Check Box(es) that Apply:						
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)						
Coltrin, Robert D.						
Business or Residence Address (Number and Street, City, State, Zip Code)						
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934						
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner						
Full Name (Last name first, if individual)						
Curry, Barbara R.						
Business or Residence Address (Number and Street, City, State, Zip Code)						
201 S. College Street, Charlotte, North Carolina 28202						
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner						
Full Name (Last name first, if individual)						
DeBerry, Jerry W.						
Business or Residence Address (Number and Street, City, State, Zip Code)						
201 S. College Street. Charlotte. North Carolina 28202						

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
 Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the
issuer;
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
Ernhart, Danielle B.
Business or Residence Address (Number and Street, City, State, Zip Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Lipsett, Lloyd
Business or Residence Address (Number and Street, City, State, Zip Code)
200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Mullis, Carol
Business or Residence Address (Number and Street, City, State, Zip Code)
301 S. Tryon Street, TH3, Charlotte, North Carolina 28202-6000
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ouellette, Kevin
Business or Residence Address (Number and Street, City, State, Zip Code)
200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Schwartz, William H.
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Sweetman, James W.
Business or Residence Address (Number and Street, City, State, Zip Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)

B. INFORMATION ABOUT OFFERING															
1.	ŀ	Has the is	suer sold o	or does the	e issuer inte	nd to sell,	to non-acc	redited inv	estors in th	nisoffering	?		Yes	No ⊠	
					Answer	also in Ap _l	pendix, Co	olumn 2, if i	filing unde	r ULOE					
2.	What	is the mi	nimum inv	estment th	nat will be a	accepted fr	rom any in	dividual?					\$100,000	0*	
	2. What is the minimum investment that will be accepted from any individual? \$100,000* *May be waived														
3		-		ioint our	orchin of a	single unit	n							Voc	No
3.	Does	nie offer	ing permit	joint own	ership of a	single unit	<i>:</i>			•				Yes ⊠	No □
4.															
		•	me first, if	individua	1)								•	· ·	· · · · · · · · · · · · · · · · · · ·
		a Bank,													
				s (Numbe	r and Street	t, City, Sta	te, Zip Co	de)							
		h Tryon		D 1											
			Broker of												
			Carolina		.:44 T4-	-d- 4- C-1	i de Donalos								
					ited or Inte States)			sers	,.,,,,,,,,,,,			************		All Sta	ites
✓[<u>/</u> ✓ [.	AL] IL] MT]	✓ [<u>AK]</u> ✓ [<u>IN]</u> ✓ [<u>NE]</u> [SC]	✓ [AZ] ✓ [IA] ✓ [NV] ✓ [SD]	✓ [AR] ✓ [KS] ✓ [NH] ✓ [TN]	✓ [CA] ✓ [KY] ✓ [NJ] [TX]	✓ [CO] ✓ [LA] [NM] [UT]	✓ [<u>CT]</u> [<u>ME]</u> ✓ [<u>NY]</u> ✓ [VT]	✓ [DE] ✓ [MD] ✓ [NC] ✓ [VA]	✓ [DC] ✓ [MA] ✓ [ND] ✓ [<u>WA</u>]	✓ [FL] ✓ [MI] ✓ [OH] ✓ [WV]	✓ [GA] ✓ [MN] ✓ [OK] ✓ [WI]	✓ [HI] ✓ [MS] ✓ [OR] ✓ [WY]	✓ <u>[ID]</u> ✓ <u>[MC</u> <u>[PA]</u> ✓ <u>[PR</u>	<u>2</u>]	. -
		•	me first, if		l)								-		
			ities, LLO				.,								
				•	r and Stree	t, City, Sta	te, Zip Co	de)							
			treet, WS												
			d Broker of	r Dealer			•								
		id, VA		III C-1'	Jan 4 a . Yes		fair Desert								
					ited or Inte States)						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		. 🛛 All St	ates
[AI	_]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]		
[IL]		[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]		
[M' [RI	-	[NE] [SC]	[NV] [SD]	[NH] [TN]	[NJ] [TX]	[NM] [UT]	[NY] [VT]	[NC] [VA]	[ND] [WA]	[OH] [WV]	[OK] [WI]	[OR] [WY]	[PA] [PR]		
			me first, if									<u> </u>			
	•	D ::		- 01		. 0: 0		1.)							
Bus	siness c	or Kesidei	nce Addres	ss (Numbe	er and Stree	t, City, Sta	ite, Zip Co	de)							
Name of Associated Broker or Dealer															
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)															
[AI		[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]	יין אויט	uic3
[IL]]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]		
[M		[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	C. OFFERING PRICE, NO. OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	
alre: chec	er the aggregate offering price of securities included in this offering and the total amount ady sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, ck this box \(\sigma\) and indicate in the column below the amounts of the securities offered for hange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$0	\$0
	Equity	\$0	\$0
	Common Preferred	\$0	\$0
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	\$0	\$0
	Other (Specify: Limited Liability Company Interests	\$No Maximum	\$0
	Total	\$No Maximum	\$0
	Answer also in Appendix, Column 3, if filing under ULOE		
offe indi	er the number of accredited and non-accredited investors who have purchased securities in this ering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, cate the number of persons who have purchased securities and the aggregate dollar amount of r purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	Less than 500	\$0
	Non-accredited Investors	0	0
	Total (for filing under Rule 504 only)		
	Answer also in Appendix, Column 4, if filing under ULOE		
secu prio	his filing is for an offering under Rule 504 or 505, enter the information requested for all urities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months or to the first sale of securities in this offering. Classify securities by type listed in Part C-estion 1.		
	Type of offering	Type of Security	Dollar Amount Sold
	Rule 505	. N/A	N/A
	Regulation A	. N/A	N/A
	Rule 504	. N/A	N/A
	Total	. N/A	N/A
sect The	nish a statement of all expenses in connection with the issuance and distribution of the urities in this offering. Exclude amounts relating solely to organization expenses of the issuer. Information may be given as subject to future contingencies. If the amount of an expenditure to thought furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	. 🗆	\$0
	Printing and Engraving Costs	. 🗆	\$0
	Legal Fees	. 🛛	\$50,000
	Accounting Fees	. 🛛	\$0
	Engineering Fees	. 🗆	\$0
	Sales Commissions (Specify finder's fees separately)		\$1,500,000
	Other Expenses (identify): Blue Sky Fees, miscellaneous	\boxtimes	\$15,000
	Total	. 🛛	\$1,565,000

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENS	SES AND USE OF PROCEEDS				
 b. Enter the difference between the aggregate offering price give total expenses furnished in response to Part C-Question 4.a. proceeds to the issuer." *expenses estimated on \$100,000,000 offering amount 	\$98,435,000				
5. Indicate below the amount of the adjusted gross proceeds to for each of the purposes shown. If the amount for any purpose check the box to the left of the estimate. The total of the p gross proceeds to the issuer set forth in response to Part C-Qu	se is not known, furnish an estimate and payments listed must equal the adjusted				
		Payments to Officers, Directors, & Affiliates	Payments To		
The issuer has duly caused this notice to be signed by the underst the following signature constitutes an undertaking by the issuer	and equipment	change Commission, upo	\$0 \$0 \$0 \$0 \$0 \$0 \$ \$0 \$ \$0 \$ \$0		
written request of its staff, the information furnished by the issuer 502.			e		
Issuer (Print or Type) Ivy/Wachovia Multi-Strategy ASW Fund, a Series of Wachovia Alternative Strategies Platform, LLC	Signature	Date February	, 2006		
Name of Signer (Print or Type) Britta Patterson					
ATTE Intentional misstatements or omissions of fact const	ENTION itute federal criminal violations. (See 1	8 U.S.C. 1001.)			

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPEN	SES AND USE OF PROCEEDS		
 b. Enter the difference between the aggregate offering price give total expenses furnished in response to Part C-Question 4.a. proceeds to the issuer." *expenses estimated on \$100,000,000 offering amount 			\$98,435,000
5. Indicate below the amount of the adjusted gross proceeds to for each of the purposes shown. If the amount for any purpo check the box to the left of the estimate. The total of the gross proceeds to the issuer set forth in response to Part C-Qu	se is not known, furnish an estimate and payments listed must equal the adjusted		
		Payments to Officers, Directors, & Affiliates	Payments To Others
Salaries and Fees	1	□ \$0 I	¬ \$0
Purchase of real estate		= :	¬ \$0
Purchase, rental or leasing and installation of machinery		□ \$0	¬ \$0
Construction or leasing of plant buildings and facilities		□ \$0	¬ \$0
Acquisition of other businesses (including the value of s may be used in exchange for the assets or securities of a	ecurities involved in this offering that	\$0	\$0
Repayment of indebtedness		□ \$0	□ \$0
Working Capital		\$98,435,000	⊠ \$
Other (specify) Investments in Portfolio Securities		□ \$0	\$0
Column Totals		□ \$0	⊠ \$
Total Payments Listed (column totals added)		፟\$98	,435,000
D FEDERA	L SIGNATURE		
The issuer has duly caused this notice to be signed by the unders		on in filed under Dule 50	\5
the following signature constitutes an undertaking by the issuer written request of its staff, the information furnished by the issuer 502.	to furnish to the U.S. Securities and Ex-	change Commission, up	on
Issuer (Print or Type)	Signature	Date	
Ivy/Wachovia Multi-Strategy ASW Fund, a Series of Wachovia Alternative Strategies Platform, LLC	BullePalle	March 3, 2	006
Name of Signer (Print or Type)	Title of Signer (Print or Type)		
Britta Patterson	Chief Administrative Officer of W	achovia Alternative	
Diffita I attersoft	Strategies, Inc., Managing Membe		
	Alternative Strategies Platform, LI		
ATTE	ENTION		
Intentional misstatements or omissions of fact const	itute federal criminal violations. (See 1	8 U.S.C. 1001.)	